4 Steps to Complete Audit Requirement

Walmart Responsible Sourcing Compliance

① Choose an approved audit program:

| Global – All Products | Global – Product Specific | Regional – Product Specific |

amfori BSCI — amfori Business Social Compliance Program
- Applicable to all product types
- Audits conducted globally
- Membership is a requirement
- **Program Guide**

Responsible Business Alliance
- Applicable to electronic goods and toys
- Audits conducted globally
- Membership available/not required
- **Program Guide**

SAI — Social Accountability International – SA8000
- Applicable to all product types
- Audits conducted globally
- Certification for three years
- Management Systems Focus
- **Program Guide**

WRAP — Worldwide Responsible Accredited Production
- Applicable to sewn products
- Audits conducted globally
- Certification scheme
- Not a membership organization
- **Program Guide**

SMETA — Sedex Members Ethical Trade Audit
- Applicable to all product types
- Audits conducted globally
- Membership is required
- **Program Guide**   **Step-by-Step Program Guide**

Fair Trade USA
- Applicable for agricultural operations including farming, packing & processing facilities
- Audits conducted globally
- Three-year certification cycle
- Not a membership organization
- **Program Guide**

SIZA — Sustainability Initiative of South Africa
- Applicable to all agricultural products
- Audits conducted in South Africa
- Membership is a requirement
- **Program Guide**

Best Aquaculture Practices
- Applicable to the aquaculture supply chain
- Audits conducted globally
- Certification scheme
- Membership available, not required
- **Program Guide**

efi — Equitable Food Initiative
- Applicable for farming operations, including pack & processing facilities
- Audits conducted in the Americas
- Certification for three years
- Training is required
- Not a membership organization
- **Program Guide**

ICTI Ethical Toy Program
- Applicable to toys, entertainment, juvenile and gifting products
- Audits conducted globally
- Certification scheme
- Capability building training and support provided to all factories
- Membership available/not required
- **Program Guide**

intertek Total Quality. Assured. — **Small Supplier Program**
Program Guide

Must meet definition of Small Supplier:
- The supplier's global sales revenue (total turnover) is under $3 million per year; or
- The supplier's total global sales revenue to Walmart Inc. is under $350,000 per year

Supplier facilities located in a high-risk region/territory category will not be eligible to participate in the approved small supplier programs.

② Complete a facility audit:

Currently working with an audit program?

Provide an existing report that meets Walmart's requirements:
- valid as defined by the Audit Program **OR** issued less than one year prior to the submit date (for programs without expiration dates)

Not currently working with an audit program?

Select an audit program from the list above and work with the program to conduct a facility audit with an approved firm.

To be accepted by Walmart, the audit must be conducted:
- on a semi-announced (during a provided window of time) or unannounced basis (with no timeline or date provided)
- by an auditor certified by the Association of Professional Social Compliance Auditors (APSCA)
- on behalf of an APSCA member audit firm, approved and in good standing with both the program and with APSCA

Check whether an auditor is APSCA-certified here.   View APSCA audit firms in good standing here.

③ Link Walmart in the audit program platform

Each audit program has a unique platform for suppliers to grant retail partners access to audit details. Suppliers should link Walmart to each factory for which an audit is submitted. Linking Walmart allows our team to validate reports. Instructions on how to link Walmart can be found in the audit program guide links in **Step 1**.

④ Submit your audit report via email

Suppliers based in North, South & Central America: rssfaam@walmart.com
Suppliers based in China & Far-East: rssfacn@walmart.com
Suppliers based in India, Europe, Africa, Middle East and all other countries: rssfain@walmart.com

To be accepted, the email should include:
- Full audit report (and any additional documents specified in the program's resource guide)
- Walmart Supplier ID number (8-digit number)
- Supplier Name
- Walmart Factory ID Number
- Factory Name
- Audit Program Identification Number (ex. Sedex ID number)
- Audit Program Facility or Audit ID Number (helps Walmart cross-reference the facility or audit)

It is your responsibility to obtain the appropriate permissions necessary to share the full audit report with Walmart.
For more information, review the Audit & Assessment Policy & Guidance